Two-ventricle repairs in the unbalanced atrioventricular canal defect spectrum with midterm follow-up

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Objectives: Unbalanced atrioventricular (AV) canal defects include a hypoplastic ventricle (HV) and AV valve (HAVV) precluding complete 2-ventricle repairs (2VRs). Catch-up growth would solve this problem and was induced by increasing HAVV flow. The objectives were to assess reliability of HV and HAVV growth and provide 5- to 15-year 2VR follow-up.

Methods: From 1990 to 2005, 23 consecutive infants (13 females and 10 males) with echo-diagnosed unbalanced AV canal defects (n = 20) or subsets (n = 3) underwent 2VRs. HV volumes (18 left and 5 right) and HAVV sizes estimated from biplane echoes and z values (standard deviation from expected) were determined. Hypoplasia was defined by a z value of less than −2.0. Three operative approaches were used: (1) Staged repairs (n = 9) had complete AV repairs with partial atrial septal defect and ventricular septal defect closures, which increased HAVV flow and maintained stability. The septal defects were closed later. (2) An asymmetric valve partition (n = 8) was used to increase HAVV size. (3) For moderate hypoplasia, HAVV flow was increased and ASDs/VSDs were left for stability (n = 6). Follow-up at 5 to 19 years was done locally.

Results: Staged repairs began at 20 to 328 days (average, 129 days) and were completed 5 to 145 days later (average, 101 days). Midterm survival was 87% (20/23) after 1 central nervous system bleed after trial weaning from extracorporeal membrane oxygenation and 2 later deaths from hyperkalemia. Reoperations for AVV regurgitation (n = 3), AVV stenosis (n = 1), and mitral valve replacement (n = 1) were satisfactory. On follow-up, all hypoplastic structures (HV and HAVV) had grown to normal size. Two patients “doing well” were lost to follow-up. Survivors have satisfactory 2VRs, with 15 of 18 taking no cardiac failure medications.

Conclusions: Reliable HV/HAVV catch-up growth was induced, and all midterm 2VRs were satisfactory. (J Thorac Cardiovasc Surg 2013;146:854-60)

Supplemental material is available online.

At the most difficult end of the atrioventricular canal (AVC) defect spectrum are the unbalanced lesions with significant hypoplasia of 1 ventricle and, often, the corresponding AV valve. Either side may be deficient, but left-sided hypoplasia with right ventricle (RV) dominance is more common.1-6 With significant hypoplasia, the unbalanced AVC (UAVC) defects are usually considered unsuitable for an initial complete 2-ventricle repair (2VR) because of the predictable limitation to either the systemic or pulmonary output. The steep increase in operative mortality with increasing right or left imbalance has long been known.1

Because of the limitations hypoplasia imposes on function, considerable effort has been devoted to defining the lower limits of an adequate ventricle and AV valve (AVV) to avoid a risky complete 2VR.2-10 Unfortunately, neither volume estimates nor the relative lengths of the 2 ventricles using catheterization or echo-derived measurements have established a borderline of adequacy.3 More recently, analysis of the relative sizes of the mitral and tricuspid valve components has provided an AVV index (AVVI) to judge the imbalance.2,3 Despite the logic behind this approach, a relatively wide transition zone remained, which was marked by unsatisfactory surgical results.2,8 When clinical judgments were factored in, the transition zone was even wider, adding more uncertainty to complete 2VRs and presumably favoring a single-ventricle repair (SVR) track.8 An SVR, however, is not an equivalent long-term choice to a 2VR.11

The decision to choose an SVR over a 2VR in infancy, moreover, treats a UAVC defect as a fixed problem and ignores the possibility that catch-up growth could correct the imbalance. The evidence that structural hypoplasia is developmental, rather than primarily genetic in origin,
The preoperative estimation of ventricular volumes and the prediction of postoperative dimensions and function are admittedly difficult because of the complex shapes of the left ventricle (LV) and RV. The most commonly used and accepted LV modeling techniques summarized by Silverman and McElhinney were used with the acknowledged limitations. Accepted techniques were used for RV analysis.

The volume determinations were obtained from images at end diastole (the frame before onset of AV valve closure), averaged from 3 cardiac cycles. Both 4- and 2-chamber apical and subcostal long axis projections were obtained and provided the biplane area tracings averaged to estimate LV and RV volumes.

The diameters of the mitral valve (MV) and tricuspid valve (TV) components of the common AV valves were determined at the valve hinge points using subcostal short-axis projections to define the relationships of the AV apparatus to the ventricles and measure diameters. The ventricular volumes and AV diameters were indexed to body surface area (meter squared) and compared with normal sizes by calculating the z values. The z values are the SD from the expected size and have been used to assess cardiac structures since the 1990s.

Definition of Hypoplasia

The unbalanced criterion was met by either a ventricle or an AV valve being hypoplastic, with a z value < -2.0. The z values between -2.0 to 2.0 are considered to contain 95% of the normal range. The individual assessment of the HV and HAVVs allowed the spectrum of imbalance to be determined for each structure. Although none of the patients in this series would have generally been considered suitable for a complete 2VR, the significance of the series does not depend on where the line for hypoplasia was drawn. If only patients with hypoplasia of z < -3.0 were included, the results and conclusions would be the same, although from a smaller series. At the far end, no patients were turned down, even with severe (z = -5.5 to -7.5) ventricular or AVV hypoplasia.

Volume Determinations and Limitations

At our institution, the RV and LV end-diastolic volume estimates have used the biplane method from apical 4- and 2-chamber (apical or subcostal) views using the Modified Simpson Rule. Because the ventricular shapes are not symmetrical, the biplane average provides a more accurate volume determination than estimates based on a single-plane, apical, 4-chamber view, which are generally smaller. A biplane study was not always performed on the home follow-up examinations, and in these cases, either a single-plane view or the m-mode LV end-diastolic dimension was used to estimate the volume z value. The growth responses were robust, however, and even by single-plane echo methods, which gave lower volume estimates; catch-up growth was clearly present.

The variation in size estimates by the different methods of echo analysis also underscores the uncertainty in recommending a 2VR over an SVR when the choice depends on a preoperative volume, ventricular length ratio, or AVVI estimate. In the growth procedure, moreover, intracardiac shunting maintains stability until sufficient catch-up growth has occurred and the 2VR can be safely completed.

Surgical Approaches for UAVC Defects

Although all of these patients were part of the UAVC spectrum, significant structural differences meant not all could be treated the same surgically, and 3 general approaches were used. The surgical details have been presented.

Staged repairs (n = 9). The most severe of the UAVC lesions underwent a staged repair designed to produce catch-up growth by increasing flow across the HAVV, with only partial closure of the VSD and ASD. A 2-patch technique was used, and the margins of the patches were placed close to the edge of the septal leaflet of the larger AVV component. This shifting of the septum increased the HAVV area without compromising the function of the larger AV valve.

### Abbreviations and Acronyms

- AV = atrioventricular
- AVC = AV canal
- AVV = AV valve
- AVVI = AVV index
- HAVV = hypoplastic AV valve
- HV = hypoplastic ventricle
- LV = left ventricle
- MV = mitral valve
- RV = right ventricle
- SVR = single-ventricle repair
- TV = tricuspid valve
- UAVC = unbalanced AVC
- 2VR = 2-ventricle repair

- **CHD**

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Increased HA VV area without impairing valve function. This partitioning of the common portion of the AV valve was placed close to the edge of the larger component of the TV and leaves an adequate tricuspid valve. The septal line is also used for the atrial septal closure patch. This repair was straightforward.

For RV-dominant UAVC lesions, the RV was kept at systemic pressures by a pulmonary artery band and augmented the systemic output through a planned residual VSD in the ventricular patch. After adequate growth, the VSDs and ASDs were closed and the pulmonary artery band removed.

Within the staged-repair group, there were noteworthy lesions from the far end of the UVC spectrum. Three patients appeared to have a large common AV valve, but also had a separate, well-formed, but small MV. The large valve, therefore, was a TV positioned over the ventricular septum. The VSD patch was placed to partition the large TV into 2 components, one directed into the LV producing a second MV to provide adequate LV inflow as the left-sided structures grew and balance was achieved (Figures 1 and E1). Adequate RV inflow was maintained through the remainder of the TV.

With a left-dominant UVC, adequate tissue oxygenation was achieved with less than a full cardiac output flowing through the lungs and a VSD was not left open to augment RV output. A mildly restrictive ASD increased TV flow, produced RV growth, and the right to left shunting augmented the cardiac output. For more pulmonary blood flow, a systemic to pulmonary shunt could be placed. The second stage was performed in the catheterization laboratory.

**Partitioning to increase the hypoplastic AV valve area (n = 8).** When the HAVV was moderately hypoplastic (z = −2.1 to −5.0) and was the predominant abnormality, the atrial and ventricular septal patches were placed close to the edge of the larger component of the common AV valve. This partitioning of the common portion of the AVV increased HAVV area without impairing valve function.

**Repair with residual intracardiac shunting (n = 6).** For less severely unbalanced patients, a restrictive ASD was placed to encourage HAVV flow and induce HV catch-up growth. The planned intracardiac shunting at the atrial level and, in 2 patients, also from small, restrictive VSDs, maintained stability during the growth period and closure could be done in the catheterization laboratory.

**Additional Cardiac Operations**

Additional cardiac defects, including tetralogy of Fallot, subaortic membranes, coarctations of the aorta, and a hypoplastic aortic root, were repaired (Table E1).

**Patient Follow-up**

The recent status of the patients was assessed by echocardiograms, reports from local cardiologists, clinic visits, and whether cardiac failure medications were required.

**RESULTS**

All patients tolerated the first operation. Two patients died from hyperkalemia caused by potassium supplements, one outside the hospital awaiting the second stage and the other after completion. One patient, after the second stage, had a central nervous system bleed on ECMO after a satisfactory trial wean, giving a survival of 20/23 (87%).

**Ventricular and AV Valve Growth**

The initial indexed HV volumes and HAVV diameters in individual patients revealed considerable variation in the degree of hypoplasia between these 2 structures. With hypoplasia defined as z < −2.0, 10 patients had only a hypoplastic ventricle, whereas 9 had hypoplasia of both ventricle and AVV. For 2 patients, the AVV alone was hypoplastic. Of the 21 patients who had satisfactory biplane evaluations of both the HV and HAVV initially, 20 had a difference of at least 1 z value between them. For 16 patients, the difference was z ≥ 2, with the hypoplasia relatively more severe in 11 of the HVs and in 5 of the HAVVs (Figure 2). These variations in the degree of hypoplasia indicate that calculations of imbalance based on either the HV or the HAVV alone will likely not represent all UVC patients in the group and may not accurately portray the risk of a 2VR.

In patients whose first operations were completed at younger than 1 year, the initial HV volumes were 4.5 ± 2.1 mL (n = 14), with a corresponding z value of −4.4 ± 1.8. The initial HV volume in patients whose first operations were done at older than 1 year was 14.1 ± 6.6 mL (n = 6), with a corresponding z value of −3.8 ± 1.2.
The follow-up volumes of the HVs for all patients (11.7 ± 3.2 years) had an indexed range of 80.4 ± 31.2 mL and a corresponding z value of −0.7 ± 1.1. The 2 patients with volume z values of −2.5 and −2.8 had single plane-echo determinations that give smaller volume estimates.

The growth response was seen by both individual studies and graphs of the indexed volumes and z values (Figures 3 and 4). The magnitude was seen by 1 indexed LV volume, which grew from 1.3 to 77 mL, and the rapidity by the severely hypoplastic ventricles, which grew to normal size in 3 to 6 months in staged repairs (Figures 3 and 5).

The initial HAVV diameter in patients whose first operations were completed at younger than 1 year (156 ± 109 days) was 7.5 ± 2.4 mm (n = 9), with a corresponding z value of −4.2 ± 1.7. The initial HAVV diameter in patients with first operations at older than 1 year (589 ± 256 days) was 7.0 ± 2.1 mm (n = 2), with a corresponding z value of −6.0 ± 0.8.

The follow-up HAVV diameters of all patients (9.8 ± 2.2 years) had an indexed range of 22.5 ± 3.9 mm and a corresponding z value of 0.2 ± 0.7. In 1 patient with a rudimentary mitral valve, the indexed mitral area grew from 0.27 to 1.9 cm²/m². Plotting the patients who had adequate echo studies showed the growth response of the HAVVs (Figure 6).

All second stages were completed, and the planned intracardiac shunts closed, in several cases spontaneously.

Additional Structural Abnormalities

A concern has been that the 2VRs may not be satisfactory for the long-term because of associated cardiac abnormalities, principally of the MVs. Mitral regurgitation after the initial repair occurred in 3 patients with successful reoperation. Of the 3 patients, 1 whose large TV was divided to create a second MV continued to have significant regurgitation because a quadrant of the valve was without chordae. A mechanical valve (St Jude Medical, Inc, St Paul, Minn) was placed and recently upsized. Her cardiac function remains good. The other 2 have shown surprising growth of the rudimentary MVs.

Four patients had an MV parachute deformity that required repair at the initial operation. Three patients have...
required no subsequent operations, but 1 has had 2 additional operations to relieve mitral and LV outflow track obstructions, with sufficient success to avoid an MV replacement. In 2 patients, the MV had 2 layers, with a second smaller septal leaflet lower than the normal leaflet; these were satisfactorily resected.

Right dominant lesions had smaller aortic valves and aortas, but all but one grew satisfactorily with increased flow. Four patients had coarctation of the aorta, and one had a type A interrupted aortic arch repaired in infancy. A small LV outflow track and aortic valve annulus in one patient eventually required a Ross procedure and, recently, an aortic valve replacement. One patient had satisfactory repair of tetralogy of Fallot. Four patients developed a subaortic membrane that was resected, with mild aortic regurgitation persisting in one.

For the series, including the 2 patients with valve replacements, good 2VRs were found in all but 1, the patient with the more severe mitral parachute deformity who, nevertheless, remains with good function and activity levels.

Follow-up Evaluations

The difficulty in accomplishing these evaluations speaks to how well the patients are doing. Of the 20 survivors, 2 have been lost to follow-up, although judged to be “doing well” when last seen. For the remainder, local clinic visits have often been infrequent and there was reluctance, by the insurance providers, for echo studies. Consequently, some of the follow-up studies were done 2 to 4 years previously.

A good indication of their functional status is that 15 of 18 patients are not taking cardiac failure medications. The patients with valve replacements (1 mitral and 1 aortic) are taking warfarin (Coumadin) but have good function.

DISCUSSION

The UA VC defects comprise the difficult end of the AVC spectrum and are characterized by significant hypoplasia of either the LV (most common) or RV and usually the corresponding AVV. The UAVC lesions comprise only approximately 10% of AVC defects, but they contribute disproportionately to the operative mortality.

To improve the operative results, considerable effort has been devoted to defining when the degree of hypoplasia precludes an initial, complete 2VR, making an SVR necessary. Methods for judging hypoplasia have included ventricular volumes or length ratios or by determining the AVVIs to predict whether the UAVC patient will tolerate an initial complete 2VR. Despite these efforts, the border has not sharpened and adding the variations in clinical diagnosis further widen the transition zone.

Clearly, an SVR track is not an equivalent choice to a 2VR. At best, it is palliative, with significant difficulties appearing in the medium term. Moreover, a UAVC defect has been identified as a risk factor for a poor outcome for a Fontan procedure. This study provides information on why the transition zone between 2VRs and SVRs will be difficult to eliminate. We found considerable differences in the degree of hypoplasia between the ventricular and the AVV sizes (Figure 2). Consequently, measurements of either the HVs or HAVVs...
alone will not produce an accurate assessment of the degree of hypoplasia in a group of UAVC patients.

Judging the degree of imbalance has also proved difficult because of difficulties in measuring ventricular size and, to some degree, the area of the AVV components.18 Although there is general agreement that normal ventricular and AV valve size falls between the z values of −2.0 and 2.0, any conclusion is tempered by the imprecision of methods used to measure the hypoplastic structures and to calculate z values. Finally, size and functional adequacy are not necessarily the same. When these significant limitations and additional clinical issues are factored in, the possibility of defining a sharp border between an initial 2VR and an SVR track seems unlikely, at best.

The most important finding of this study, however, is that even severe degrees of hypoplasia can be reversed and a 2VR eventually realized. In addition, during the growth period, stability will be maintained by intracardiac shunting. An initial decision to pursue an SVR based on the degree of hypoplasia, in contrast, fixes the outcome early in infancy and ignores the growth capability of the hypoplastic structures.11 As these results demonstrate, however, catch-up growth can be induced in these hypoplastic structures and is relatively rapid (Figures 3 and 5). This principle is generally applicable and, in patients with pulmonary atresia with an intact septum, hypoplastic RVs and tricuspid valves with z values lower than −4.0 grew to normal size.13,23 Our experience has also shown that the technical details are important and include a mildly restrictive (4-5 mm Hg) ASD to increase HAVV flow and stimulate ventricular growth as well as complete relief of outflow obstruction to maximize the response. Finally, even if the growth response is not completely adequate, the initial valve repair will still be beneficial to a ventricle and a half repair.

An additional benefit was that patient stability was improved during the growth period because intracardiac shunting was preserved. In contrast to the “all-or-nothing” complete 2VR, which underlies the unsatisfactory results of patients in the transition zone in other series, these patients were relatively stable. These patients are usually stable before the first operation if pulmonary blood flow is not excessive. The value of this intracardiac shunting has not been well appreciated, although 1 report of left-dominant UA VC ASD to increase HAVV flow and stimulate ventricular growth as well as complete relief of outflow obstruction to maximize the response. Finally, even if the growth response is not completely adequate, the initial valve repair will still be beneficial to a ventricle and a half repair.

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Some additional points regarding anatomy, repair, and function have emerged from this study. It has been proposed that the AVVI also reveals the degree of AV junction malalignment that may affect inflow physiology and further hamper HV function.8 Our results, however, do not support that junctional malalignment affects inflow or function of the HV, nor that the original septal line between the AVV components needs to be preserved. Throughout this series, a 2-patch technique was routinely used to enlarge the HAVV as much as possible by shifting the septum into the larger component.11 This technique had the immediate effect of increasing the area of the HAVV and seemed not to affect function of either AVV.

The concern that valve abnormalities, principally mitral, could interfere with the quality of a 2VR, making it less satisfactory than an SVR, has validity.5 MV regurgitation required a second operation in 3 patients and an MVR in a fourth. Despite these difficulties, the quality of life and the relative freedom from continuing cardiac difficulties for most patients in this series argue persuasively for this approach.

In summary, this approach takes advantage of the significant growth potential present in infants and young children. Although this series is relatively small, our results suggest that even severely hypoplastic ventricles and valves are capable of a catch-up growth response. Growth and development is central to the field of pediatrics, and these results show that by enlisting normal physiologic growth signals, severe problems of underdevelopment can be corrected. The result was often an essentially normal cardiac configuration and function whose benefits should increase with time compared with the significant compromises produced from having only 1 ventricle.

References


FIGURE E1. Doppler echocardiogram showing inflow through the created mitral valve (mitral 2) into the left ventricle (LV). The red Doppler stream into the LV through the newly created mitral valve (A) appears larger than the stream through the native, but rudimentary, mitral valve (B). Together, they allow a satisfactory output, giving time for the hypoplastic LV to grow. At this point, a small atrial septal defect and a small ventricular septal defect are present, which also increase patient stability.
### TABLE E1. Data on patients with an unbalanced AV canal defect

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The initial, interim, and follow-up data on the 23 patients in this series are presented. **YOB**, Year of birth; **Hypo**, hypoplastic; **Vent**, ventricle; **PAB**, pulmonary artery band; **Down**, trisomy 21; **Op**, operation; **Wt**, weight; **HAVV**, hypoplastic atrioventricular valve; **HV**, hypoplastic ventricle; **UAVC**, unbalanced atrioventricular canal; **SubAo Memb**, subaortic membrane; **Meds**, medications; **NA**, not available; **D**, done elsewhere; **MV**, mitral valve; **CNS**, central nervous system; **F/U**, follow-up; **RV**, right ventricle; **TV**, tricuspid valve.

* Died from hyperkalemia outside hospital awaiting second operation.
† Died 16 months after repair from hyperkalemia.
‡ Died from a central nervous system bleed after second operation, was on extracorporeal membrane oxygenation support for initial marginal output and had a successful trial wean.

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860.e2
## TABLE E1. Continued

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<th>Aortic Valve Anulus</th>
<th>Age, y</th>
<th>Wt, kg</th>
<th>SubAo memb</th>
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