Balloon-expandable transaortic transcatheter aortic valve implantation with or without predilation

Nikolaos Bonaros, MD, PhD,^a Markus Kofler, MD,^a Derk Frank, MD,^b Riccardo Cocchieri, MD,^c Dariusz Jagielak, MD,^d Marco Aiello, MD,^e Joel Lapeze, MD,^f Mika Laine, MD,^g Sidney Chocron, MD,^h Douglas Muir, MD,ⁱ Walter Eichinger, MD,^j Matthias Thielmann, MD,^k Louis Labrousse, MD,^l Vinayak Bapat, MD,^m Kjell Arne Rein, MD,ⁿ Jean-Philippe Verhoye, MD,^o Gino Gerosa, MD,^p Hardy Baumbach, MD,^q Cornelia Deutsch, MD,^r Peter Bramlage, MD,^r Martin Thoenes, MD,^s and Mauro Romano, MD^t

ABSTRACT

Objective: It has been reported that balloon aortic valvuloplasty immediately before transfemoral or transapical transcatheter aortic valve implantation has mostly little to no clinical value. We aimed to provide data on the need for balloon aortic valvuloplasty in patients undergoing transaortic transcatheter aortic valve implantation.

Methods: Patients undergoing transaortic transcatheter aortic valve implantation with the Edwards SAPIEN XT (Nyon, Switzerland) or 3 transcatheter heart valve were prospectively included at 18 sites across Europe. In the present analysis, we compare the periprocedural and 30-day outcomes of patients undergoing conventional (+ balloon aortic valvuloplasty) versus direct (– balloon aortic valvuloplasty) transaortic transcatheter aortic valve implantation.

Results: Of the 300 patients enrolled, 222 underwent conventional and 78 underwent direct transaortic transcatheter aortic valve implantation. Peak and mean transvalvular gradients were improved in both groups with no significant difference between groups. Procedural duration, contrast agent volume, and requirement for postdilation were also comparable. A trend toward fewer periprocedural complications was evident in the direct group (3.9% vs 11.3%; $P = .053$), with significantly lower rates of permanent pacemaker implantation (0% vs 5.0%; $P = .034$). Balloon aortic valvuloplasty omission had no significant effect on any of the 30-day safety and efficacy outcomes, including Valve Academic Research Consortium-2 composite end points (early safety events: 22.7% vs 17.4%, odds ratio, 1.17, 95% confidence interval, 0.53-2.62; clinical efficacy events: 20.5% vs 18.7%, odds ratio, 1.14, 95% confidence interval, 0.51-2.55).

Periprocedural complications and procedural outcomes in conventional versus direct TAO-TAVI.

Central Message

Predilation in transaortic TAVI procedures using an Edwards SAPIEN (Nyon, Switzerland) balloon-expandable valve seems to have little clinical value for many patients and may result in a higher rate of PPI.

Perspective

Although BAV predilation in TA- and TF-TAVI seems to be of little clinical value, evidence for this in TAO-TAVI is lacking. Our real-world data reveal that many findings from TA and TF studies also apply to TAO-TAVI and suggest that omission of predilation may reduce periprocedural PPI. This is valuable knowledge for informing surgical decisions and adds to the limited data on TAO-TAVI.

See Editorial Commentary page 924.

From the ^aDepartment of Cardiac Surgery, Medical University Innsbruck, Innsbruck, Austria; ^bDepartment of Internal Medicine III (Cardiology and Angiology) UKSH, Campus Kiel, Kiel, Germany; ^cHeart Centre, Academic Medical Centre, University of Amsterdam, Amsterdam, The Netherlands; ^dDepartment of Cardiac and Vascular Surgery, Medical University of Gdansk, Gdansk, Poland; ^eDepartment of Cardiothoracic Surgery, Foundation IRCCS Policlinico S Matteo, Pavia University School of Medicine, Pavia, Italy; ^fDepartment of Cardiovascular Surgery, Hospital Louis Pradel, Lyon, France; ^gDivision of Cardiology, Helsinki University Central Hospital, Helsinki, Finland; ^hCardiothoracic Surgery, Hospital Jean Minjot, University Hospital of Besancon, Besancon, France; ⁱCardiothoracic Division, James Cook Hospital, Middlesbrough, United Kingdom; ^jDepartment of Cardiothoracic Surgery, Klinikum Bogenhausen, Munich, Germany; ^kDepartment of Thoracic and Cardiovascular Surgery, West-German Heart Centre, University Hospital Essen, Essen, Germany; ^lDepartment of Cardiovascular Surgery, CHU Hospital of Bordeaux, Bordeaux, France; ^mSt Thomas' Hospital, London, United Kingdom; ⁿDepartment of Cardiothoracic Surgery, Rikshospitalet Oslo, Oslo, Norway; ^oDepartment of Cardiovascular Surgery, CHU Rennes, Rennes, France; ^pDe-

partment of Cardiac Surgery, University of Padova, Padova, Italy; ^qDepartment of Cardiovascular Surgery, Robert-Bosch-Krankenhaus Stuttgart, Stuttgart, Germany; ^rInstitute for Pharmacology and Preventive Medicine, Cloppenburg, Germany; ^sEdwards Lifesciences, Medical Affairs/Professional Education, Nyon, Switzerland; and ^tInstitut Hospitalier Jacques Cartier, Massy, France.

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Drs Bonaros and Kofler contributed equally to the article.

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Address for reprints: Nikolaos Bonaros, MD, PhD, Department of Cardiac Surgery, Medical University Innsbruck, Anichstrasse 35, A-6020, Innsbruck, Austria (E-mail: nikolaos.bonaros@i-med.ac.at).

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Abbreviations and Acronyms

AV	= atrioventricular
BAV	= balloon aortic valvuloplasty
CI	= confidence interval
NYHA	= New York Heart Association
OR	= odds ratio
PAD	= peripheral artery disease
PPI	= permanent pacemaker implantation
PVL	= paravalvular leak
ROUTE	= Registry Of the Utilization of the TAO-TAVI approach using the Edwards SAPIEN Valve
STS	= Society of Thoracic Surgeons
TA	= transapical
TAo	= transaortic
TAVI	= transcatheter aortic valve implantation
TF	= transfemoral
THV	= transcatheter heart valve
VARC-2	= Valve Academic Research Consortium-2

Scanning this QR code will take you to a supplemental video for the article.

Conclusions: For many patients, balloon aortic valvuloplasty predilation seems to have little clinical value in transaortic transcatheter aortic valve implantation using a balloon expandable transcatheter valve and may result in a higher rate of periprocedural complications, particularly in terms of permanent pacemaker implantation. (*J Thorac Cardiovasc Surg* 2018;155:915-23)

Balloon aortic valvuloplasty (BAV) predilation has generally been considered fundamental to the transcatheter aortic valve implantation (TAVI) procedure to allow prior assessment of the appropriate transcatheter heart valve (THV) size, facilitate the crossing of the aortic annulus, and maximize the expansion of the prosthesis to minimize paravalvular leak (PVL).¹ However, predilation may contribute to stroke and embolic event rates because of a marked dislodgement of calcific debris.² Furthermore, existing studies on transfemoral (TF) and transapical (TA) TAVI have all reported that omitting the predilation step (known as “direct TAVI”) results in a level of efficacy similar to that of the conventional approach (+BAV predilation),

with potential additional safety advantages.³⁻⁵ The latter include lower rates of permanent pacemaker implantation (PPI) and 30-day mortality,⁶ shorter procedural and fluoroscopy times,³ and reduced contrast agent volumes.^{3,7} In addition, several studies suggest a lower degree of PVL after direct versus conventional TAVI,^{3,8} potentially discrediting the aforementioned belief that BAV predilation is imperative for successful THV landing and apposition. Despite the accumulating data for TF- and TA-TAVI, no studies comparing direct with conventional TAO-TAVI have been published. Patients undergoing TAO-TAVI are of particular interest, not only as a distinct population but also because of key procedural characteristics. Although TAVI via the short, antegrade TA access route can be easily performed without BAV, its omission during retrograde TF-TAVI is complicated by the long distance between the femoral access point and the aortic valve, and by the unequal distribution of forces applied by the surgeon at the groin to different points of the catheter. This is a particularly relevant problem in patients with a horizontal annulus, severe kinking of the iliac vessels, or very sharp angulation of the aortic arch. TAO-TAVI is the only approach that combines retrograde insertion with direct transmission of forces to the stenotic aortic valve because of the proximity and angulation of the catheter entry site. As such, TAO-TAVI theoretically can be used in the majority of patients. The value of BAV predilation under these particular circumstances merits evaluation.

The present analysis of the Registry Of the Utilization of the TAO-TAVI approach using the Edwards SAPIEN Valve (ROUTE) (Nyon, Switzerland) specifically focuses on the clinical value of BAV predilation in TAO-TAVI. Our aim was to evaluate the impact of the direct approach on procedural aspects and short-term event rates in patients with severe AS undergoing this procedure with the Edwards SAPIEN XT or 3 THV.

MATERIALS AND METHODS

Design

Data from the multicenter, multinational, prospective ROUTE registry (NCT01991431) were used in the present analysis, the design and rationale of which have been described.^{9,10} This registry was established in February 2013, with the aim of determining the overall safety and efficacy of the TAO-TAVI approach. It received prior institutional review board approval from the relevant ethics committees at each site. All patients included in the registry provided written informed consent.

Study Participants

Patients were consecutively enrolled at 18 sites across Europe. Criteria for inclusion were (1) age 18 years or more; (2) severe AS (defined as aortic valve surface area <1.0 cm² plus a mean transvalvular gradient >40 mm Hg); (3) scheduled to undergo TAO-TAVI using the Edwards SAPIEN XT or 3 THV; and (4) complying with the indications for this procedure detailed in the “Instructions for Use.” Patients scheduled to undergo a concomitant procedure were excluded. For more details on inclusion and exclusion criteria, readers are referred to the registry design article.⁹

Transaortic-Transcatheter Aortic Valve Implantation Procedure

In the present analysis, all patients who underwent the procedure with the BAV predilation step (Video 1) included were classified as the “conventional TAO-TAVI” group, whereas all those who underwent the procedure with the BAV predilation step omitted were classified as the “direct TAO-TAVI” group. The decision as to whether or not to perform BAV predilation was made at the discretion of the treating physician, independent of inclusion in the registry.

Objectives

The primary objective of the present analysis was to compare the proportion of patients in the direct versus conventional TAO-TAVI group meeting Valve Academic Research Consortium-2 (VARC-2) early safety and efficacy composite end points at 30 days.¹¹ Early safety was defined as a composite of all-cause mortality, nonfatal stroke/transient ischemic attack, life-threatening bleeding, acute kidney injury stage 2 or 3, major vascular complication, and valve-related dysfunction. Early efficacy was defined as a composite of all-cause mortality, stroke, hospitalization for valve-related symptoms, New York Heart Association (NYHA) class III or IV, and valve-related dysfunction. The secondary objectives were to establish the effect of BAV predilation on individual 30-day complication/event rates, as well as on periprocedural outcomes such as complication rates, procedural time, need for balloon postdilation, and contrast agent use.

Statistical Analysis

All of the collected data were entered into a database by a physician or study nurse via electronic case report forms; more than 20% of patients were subjected to source data verification after registry completion.

All relevant data are expressed using descriptive statistics. Categorical variables are presented as absolute numbers and percentages, and continuous variables are given as means $\pm$ standard deviation or median (interquartile range). For comparisons between the conventional and direct group, a chi-square test or Fisher exact test was used for categorical variables, a *t* test for normally distributed continuous variables, and a Mann-Whitney *U* test for non-normally distributed continuous variables. *P* values are of descriptive nature only and are adjusted for multiplicity. A logistic

FIGURE 1. Patient flow for present analysis. ROUTE, Registry Of the Utilization of the TAO-TAVI approach using the Edwards SAPIEN Valve; TAO-TAVI, transaortic transcatheter aortic valve implantation; BAV, balloon aortic valvuloplasty; FU, follow-up.

regression adjusting for baseline characteristics (age, peripheral artery disease [PAD], moderate/severe regurgitation insufficiency, and NYHA class III or IV and prior BAV) was used to evaluate the effect of omitting BAV predilation on 30-day safety and efficacy outcomes. These data are presented as odds ratios (ORs) with 97.5% confidence intervals (CIs). A multiplicity adjusted alpha level of 0.025 was used. All data analysis was carried out using Statistical Analysis System version 9.3 (SAS Institute Inc, Cary, NC).

RESULTS

A total of 301 patients who underwent TAO-TAVI were enrolled in the ROUTE registry (Figure 1). Of these, 300 patients were eligible for the present analysis. Accordingly, study participants were grouped into 222 patients (74%) who underwent conventional TAO-TAVI (+BAV predilation) and 78 patients (26%) who underwent direct TAO-TAVI (–BAV predilation).

Baseline Patient Details

Overall, patients had a mean age of 81.7 years and a body mass index of 26.4 kg/m², and 53.7% were female, with no significant differences between the conventional and direct groups (Table 1). The proportions of patients with prior cardiovascular interventions were also statistically comparable (35.7% overall); however, a significantly higher proportion of the direct group had received prior BAV (9.0% vs 2.7% of the conventional group; *P* = .019). Surgical risk determined by the Society of Thoracic Surgeons (STS) algorithm was comparable between groups (9% overall). Of the comorbidities documented, only PAD was found to differ significantly between groups, affecting 47.8% of conventional compared with 26.9% of direct TAO-TAVI patients (*P* = .001).

A greater proportion of the conventional group was classified as NYHA class III/IV (81.4% vs 60.3% of the direct group; *P* = .001). The proportion of patients in the direct TAO-TAVI group with moderate or severe regurgitation insufficiency (23.1%) was more than double that of the conventional TAO-TAVI group (10.8%; *P* = .007).

VIDEO 1. Video showing the TAO-TAVI surgical procedure. Video available at: [http://www.jtcvsonline.org/article/S0022-5223\(17\)32400-5/fulltext](http://www.jtcvsonline.org/article/S0022-5223(17)32400-5/fulltext).

TABLE 1. Baseline patient and disease characteristics

	Total (N = 300) mean $\pm$ SD/n/N (%)	Conventional TAo-TAVI (N = 222) mean $\pm$ SD/n/N (%)	Direct TAo-TAVI (N = 78) mean $\pm$ SD/n/N (%)	P value (conventional vs direct TAo-TAVI)
Age [y]	81.7 $\pm$ 5.9	82.1 $\pm$ 5.8	80.7 $\pm$ 6.3	.069
Female gender	161/300 (53.7)	121/222 (54.5)	40/78 (51.3)	.623
BMI [kg/m ²]	26.4 $\pm$ 5.8	26.3 $\pm$ 5.6	26.8 $\pm$ 6.4	.537
Current smoker	29/280 (10.4)	19/212 (9.0)	10/68 (14.7)	.176
Prior cardiovascular intervention	107/300 (35.7)	76/222 (34.2)	31/78 (39.7)	.382
Prior BAV	13/300 (4.3)	6/222 (2.7)	7/78 (9.0)	.019
Comorbidities				
CAD	183/300 (61.0)	137/222 (61.7)	46/78 (59.0)	.670
Hypertension*	230/298 (76.7)	174/220 (79.1)	56/78 (71.8)	.187
Diabetes†	79/298 (26.5)	55/220 (25.0)	24/78 (30.8)	.321
Prior stroke/TIA	43/300 (14.3)	29/220 (13.1)	14/78 (18.0)	.289
PAD	127/300 (42.3)	106/222 (47.8)	21/78 (26.9)	.001
Cancer	59/300 (19.7)	42/222 (18.9)	17/78 (21.8)	.583
Pulmonary disease‡	78/300 (26.0)	60/222 (27.0)	18/78 (23.1)	.494
Pulmonary hypertension§	95/296 (32.1)	68/218 (31.2)	27/78 (34.6)	.578
Renal insufficiency	94/300 (31.3)	65/222 (29.3)	29/78 (37.2)	.196
Prior MI	46/298 (15.4)	33/221 (14.9)	13/77 (16.9)	.683
Symptoms				
Dizziness with exertion	105/300 (35.0)	78/222 (35.1)	27/78 (34.6)	.934
CCS angina class III/IV	40/280 (14.3)	33/212 (15.6)	7/68 (10.3)	.280
ECG				
AV block	39/291 (13.4)	29/215 (13.5)	5/76 (6.6)	.324
LBBB	43/296 (14.5)	33/220 (15.0)	10/76 (13.2)	.593
RBBB	33/287 (11.5)	23/211 (10.9)	10/76 (13.2)	.844
Echocardiogram				
LVEF [%]	52.5 $\pm$ 12.3	52.7 $\pm$ 11.7	52.0 $\pm$ 14.0	.657
Peak transvalvular gradient [mm Hg]	71.5 $\pm$ 23.3	71.0 $\pm$ 22.9	73.1 $\pm$ 24.5	.581
Mean transvalvular gradient [mm Hg]	44.3 $\pm$ 15.3	44.3 $\pm$ 15.1	44.3 $\pm$ 16.1	.987
Moderate/severe regurgitation insufficiency	42/300 (14.0)	24/222 (10.8)	18/78 (23.1)	.007
NYHA class III/IV	226/298 (75.8)	179/220 (81.4)	47/78 (60.3)	.001
STS [%]	9.0 $\pm$ 7.6	9.3 $\pm$ 7.7	8.3 $\pm$ 7.2	.330

SD, Standard deviation; TAo-TAVI, transaortic transcatheter aortic valve implantation; BMI, body mass index; BAV, balloon aortic valvuloplasty; CAD, coronary artery disease; TIA, transient ischemic attack; PAD, peripheral artery disease; MI, myocardial infarction; CCS, Canadian Cardiovascular Society; ECG, electrocardiogram; AV, atrioventricular; LBBB, left bundle branch block; RBBB, right bundle branch block; LVEF, left ventricular ejection fraction; NYHA, New York Heart Association; STS, Society of Thoracic Surgeons. *Defined as systolic blood pressure greater than 140 mm Hg, diastolic blood pressure greater than 90 mm Hg, or a documented history of hypertension. †Defined as documented history of diabetes. ‡Defined as any condition causing or indicating impaired lung function. §Defined as mean pulmonary artery pressure greater than 25 mm Hg. ||Defined as creatinine level greater than 3.0 mg/dL.

Periprocedural Details

The most commonly used THV size was 26 mm (48.3% overall) (Table 2). Device success was achieved in 98.7% of all patients. Procedural duration, volume of contrast agent used, and requirement for balloon post-dilation were not significantly different between conventional and direct TAo-TAVI groups. Likewise, the median length of stay in the intensive care unit and time to discharge were comparable. There was no case of an inability to cross the aortic valve in the direct group, whereas this was a problem for 2 patients in the conventional group.

A borderline significant trend toward fewer procedural complications in the direct TAo-TAVI group compared with the conventional group was apparent (3.9% vs 11.3%; $P = .053$). The most common of these was complete atrioventricular (AV) block (5.0% overall), with no statistical difference between groups. However, 2 cases of AV block occurred during the BAV predilation step in the conventional group. A significantly higher proportion of conventional TAo-TAVI patients required PPI (5.0%; 84.6% of AV block cases) compared with patients in the direct group (0.0%; $P = .034$). The frequencies of access complications and conversion to open surgery were not

TABLE 2. Periprocedural and hospitalization details

	Total (N = 300) mean $\pm$ SD/n/N (%)	Conventional TAo-TAVI (N = 222) mean $\pm$ SD/n/N (%)	Direct TAO-TAVI (N = 78) mean $\pm$ SD/n/N (%)	P value (conventional vs direct TAO-TAVI)
Procedural characteristics				
Distance to annulus from puncture site [cm]	6.3 $\pm$ 1.4	6.2 $\pm$ 1.1	6.8 $\pm$ 2.1	.074
Access point				.086
Ministernotomy	288/300 (96.0)	216/222 (97.3)	72/78 (92.3)	
Right anterior thoracotomy	12/300 (4.0)	6/222 (2.7)	6/78 (7.7)	
Predilation balloon size [mm]	20.9 $\pm$ 1.9	20.9 $\pm$ 1.9	NA	NA
THV size				.728
23 mm	83/300 (27.7)	59/222 (26.6)	24/78 (30.8)	
26 mm	145/300 (48.3)	110/222 (49.6)	35/78 (44.9)	
29 mm	72/300 (24.0)	53/222 (23.9)	19/78 (24.4)	
Procedural duration [min]	107.0 $\pm$ 37.7	105.6 $\pm$ 37.3	110.9 $\pm$ 38.6	.279
Contrast agent volume [mL]	101.4 $\pm$ 48.6	104.1 $\pm$ 43.8	94.7 $\pm$ 58.7	.157
Balloon postdilation	70/300 (23.3)	50/222 (22.5)	20/78 (25.6)	.575
Periprocedural complications				
Access complications*	6/300 (2.0)	6/222 (2.7)	0/78 (0.0)	.345
Device malfunction†	2/300 (0.7)	2/222 (0.9)	0/78 (0.0)	.400
Second valve	5/300 (1.7)	4/222 (1.8)	1/78 (1.3)	.758
Conversion to open surgery	5/300 (1.7)	5/222 (2.3)	0/78 (0.0)	.393
Complete AV block	15/300 (5.0)	13/222 (5.9)	2/78 (2.6)	.251
During BAV predilation	2/15 (13.3)	2/13 (15.4)	NA	NA
During valve implantation	6/15 (40.0)	6/13 (46.2)	0/2 (0.0)	.215
Complete AV block requiring PPI	11/300 (3.7)	11/222 (5.0)	0/78 (0.0)	.034
Procedural outcomes				
Device success‡	296/300 (98.7)	219/222 (98.6)	77/78 (98.7)	>.999
Periprocedural mortality	0/300 (0.0)	0/222 (0.0)	0/78 (0.0)	NA
Moderate/severe paravalvular regurgitation	10/300 (3.3)	9/222 (4.1)	1/78 (1.3)	.463
Peak transvalvular gradient [mm Hg]	17.6 $\pm$ 7.8	17.9 $\pm$ 7.9	16.5 $\pm$ 6.9	.328
Mean transvalvular gradient [mm Hg]	9.8 $\pm$ 4.6	9.8 $\pm$ 4.5	9.7 $\pm$ 5.0	.857
Hospitalization				
Length of stay in ICU [d]§	1.0 (1.0-3.0)	1 (1.0-2.0)	2.0 (1.0-3.0)	.052
Time to discharge post-TAVI [d]	8 (6.0-11.0)	7 (5.8-10.0)	8 (7.0-14.0)	.005

SD, Standard deviation; TAo-TAVI, transaortic transcatheter aortic valve implantation; NA, not applicable; THV, transcatheter heart valve; AV, atrioventricular; BAV, balloon aortic valvuloplasty; PPI, permanent pacemaker implantation; ICU, intensive care unit; TAVI, transcatheter aortic valve implantation. *Defined as annular/aortic dissection/rupture or uncontrolled bleeding. †Defined as failure to meet any device performance specifications or perform as intended. ‡Defined as absence of procedural mortality, correct positioning, and intended performance of THV (VARC-2 criteria (11)). §Data for 9 patients missing (conventional 4, direct 5). ||Data for 3 patients missing (conventional 0, direct 3).

statistically different between groups, and no periprocedural death occurred in either group.

Procedural Efficacy

Immediately after TAO-TAVI, overall peak and mean transvalvular gradients were reduced to 17.6 and 9.8 mm Hg, respectively, with no notable differences between conventional and direct groups ($P = .328$ and $P = .857$, respectively) (Table 2). The majority of patients in both groups displayed no/trace PVL after TAVI (82.1% and 76.6% of the direct and conventional groups, respectively; $P = .328$) (Figure 2). Likewise, no significant differences were found for any other procedural outcomes.

Thirty-Day Outcomes

At 30 days postprocedure, 293 patients (97.7%) were available for follow-up: 219 (98.6%) in the conventional

group and 74 (94.9%) in the direct group (Table 3). Missing patients were excluded from analyses.

The VARC-2 early safety composite end point was met by comparable proportions of patients in the conventional and direct groups (17.4% and 22.7%, respectively; $P = .308$), with 18.7% and 20.5% meeting the clinical efficacy composite end point, respectively ($P = .731$). After adjusting for baseline characteristics, multivariate analysis indicated that the omission of BAV predilation had no significant effect on the odds of meeting either composite end point at 30 days (early safety: OR, 1.17; 97.5% CI, 0.53-2.62 and clinical efficacy: OR, 1.14; 95% CI, 0.51-2.55).

Because there were too few outcomes for the model to function for the individual events or complications over the first 30 days after the TAO-TAVI procedure, we omitted calculating the OR and displayed the descriptive rates only.

FIGURE 2. Severity of PVL after conventional versus direct TAO-TAVI. TAO-TAVI, Transaortic transcatheter aortic valve implantation; PVL, paravalvular leak.

DISCUSSION

Overall, omission of the BAV predilation step during TAO-TAVI with the balloon-expandable Edwards SAPIEN XT or 3 THV was found to have no significant effect on safety and efficacy outcomes at 30 days, including VARC-2 composite end points. However, the direct approach did appear to reduce the frequency of periprocedural AV block requiring PPI.

Patient Characteristics

The overall low frequency of PAD in the present patients is surprising, given that this is a common reason for performing TAO-TAVI over TF-TAVI.¹² However, a recent observational study was in agreement with our finding, reporting only 32% of TAO-TAVI cases to have PAD.¹³ Other reasons to perform TAVI via the TAO route include femoral artery tortuosity, small access vessel diameter, and surgeon preference, the latter of which is a particular influence in real-world registries.

Few of the baseline characteristics of the 2 groups varied to any great extent. This was with the exception of the presence of PAD, moderate/severe regurgitation insufficiency, and NYHA class, all of which were poorer in the patients who underwent BAV predilation. This indicates that the condition of the native valve was worse in these patients, which may have contributed to the physician's decision to perform BAV. Unfortunately, neither the reason for the

physician's choice of conventional or direct TAO-TAVI nor the prevalence of aortic root calcification was recorded in the ROUTE registry; therefore, this cannot be confirmed. However, the degree of valve calcification is known to be less restrictive in TAO-TAVI compared with TF-TAVI because of the shorter access route and increased control. Thus, a proportion of BAV decisions were likely to be based simply on surgeon preference. Finally, a higher proportion of the direct group had previously received BAV, which may be another possible reason for them not requiring it before the TAVI procedure.

Periprocedural Data

An extremely high rate of device success was achieved in both direct and conventional TAO-TAVI groups. First, this suggests that the decision not to perform BAV predilation was appropriate for the patients in the direct group, with only 1 patient not achieving device success, which was due to a finding of moderate/severe PVL. Recent studies assessing the effects of omitting predilation from TF- and TAO-TAVI procedures have demonstrated the safety and efficacy of this approach.^{3,14-16} Therefore, it is likely that there was a proportion of the conventional group that would have achieved device success in the absence of BAV; however, because of the nonrandomized design of the study, we were unable to confirm this.

The finding that patients undergoing conventional and direct TAO-TAVI had a similar procedural duration and

TABLE 3. Multivariable analyses showing the effect of omitting balloon aortic valvuloplasty predilation on 30-day outcomes

	Total (N = 293) n (%)	Conventional TAo-TAVI (N = 219) n (%)	Direct TAo-TAVI (N = 74) n (%)	Adjusted OR (97.5% CI)*
30-d follow-up	293/300 (97.7)	219/222 (98.6)	74/78 (94.9)	NA
Composite end points				
Early safety†	55/294 (18.7)	38/219 (17.4)	17/75 (22.7)	1.17 (0.53-2.62)
Clinical efficacy‡	56/292 (19.2)	41/219 (18.7)	15/73 (20.5)	1.14 (0.51-2.55)
All-cause mortality	18/293 (6.1)	12/219 (5.5)	6/74 (8.1)	
Nonfatal stroke/TIA	4/293 (1.4)	3/219 (1.4)	1/74 (1.4)	
Nonfatal MI	2/293 (0.7)	1/219 (0.5)	1/74 (1.4)	
Life-threatening bleeding	10/293 (3.4)	8/219 (3.7)	2/74 (2.7)	
AKI (AKIN stage 2 or 3)	27/285 (9.5)	19/214 (8.9)	8/71 (11.3)	
Major vascular complication	10/293 (3.4)	8/219 (3.7)	2/74 (2.7)	
PPI§	26/294 (8.8)	20/220 (9.1)	6/74 (8.1)	
Valve-related dysfunction	3/282 (1.1)	3/213 (1.4)	0/69 (0)	
Valve-related symptoms requiring hospitalization	10/282 (3.5)	7/213 (3.3)	3/69 (4.3)	
NYHA class III/IV	34/282 (12.1)	27/213 (12.7)	7/69 (10.1)	
CCS angina class III/IV	7/241 (2.9)	4/196 (2.0)	3/45 (6.7)	

TAo-TAVI, Transaortic transcatheter aortic valve implantation; OR, odds ratio; CI, confidence interval; NA, not applicable; TIA, transient ischemic attack; MI, myocardial infarction; AKI, acute kidney injury; AKIN, Acute Kidney Injury Network; PPI, permanent pacemaker implantation; NYHA, New York Heart Association; CCS, Canadian Cardiovascular Society. *Adjusted for baseline characteristics, age, presence of PAD, moderate/severe regurgitation insufficiency, prior BAV, and NYHA class III or IV. †Composite of all-cause mortality, nonfatal stroke/TIA, life-threatening bleeding, acute kidney injury, major vascular complication, and valve-related dysfunction. ‡Composite of all-cause mortality, stroke, hospitalization for valve-related symptoms, NYHA class III or IV, and valve-related dysfunction. §Patients with PPI before being lost to follow-up are included.

volume of contrast agent is unsurprising, given that the short distance between the access site and the aortic annulus means that BAV represents only a small proportion of the overall operative time. A similar result has been reported for direct versus conventional TA-TAVI,¹⁷ which also involves a short access route. Conversely, direct TF-TAVI has been consistently noted to save time by avoiding lengthy negotiation of the femoral artery with the BAV equipment.^{3,4,6,7,16}

It may be expected that, in the absence of BAV predilation, there would be a greater need for postprocedural dilation in the direct TAVI group. However, no significant difference in the rate of balloon postdilation was seen between the 2 groups in the present study. This is consistent with findings from studies assessing the value of BAV predilation in TA- and TF-TAVI procedures using balloon-expandable prostheses^{3,7,15-17} and implies that satisfactory expansion of the THV may not be reliant on the predilation step. This is an important finding, because postdilation has been identified as an independent predictor of major adverse cardiac and cerebrovascular events at 1 year.¹⁸ However, it should be noted that the baseline characteristics of the patients included in the present analysis indicate that the native valves of those who received BAV predilation were in a worse condition before TAVI. Therefore, it is possible that in the absence of BAV, more of these patients would have required postdilation.

Periprocedural Complications

Although no difference in periprocedural mortality was observed between the 2 study groups, there was a trend toward a reduced rate of complications after direct TAo-TAVI. The borderline significance for this effect may have been due to the generally low incidence of complications coupled with relatively small patient numbers. This suggests that omitting BAV predilation may hold promise for improving procedural safety for many patients, and this merits investigation in larger studies.

More specifically, a significant difference between groups was seen in relation to the requirement for PPI after complete AV block, which applied to 5% of conventional patients but to none of the direct patients. It is notable that 2 of 13 instances of complete AV block in the conventional group occurred during the BAV predilation step. A trend toward reduced PPI with direct TAVI has been reported in a number of studies.^{6,8} Furthermore, BAV predilation has been identified as an independent predictive factor for PPI requirement in TAVI (OR, 1.75; 95% CI, 1.02-3.02; $P = .04$).¹⁹ Prior conduction abnormalities also have been associated with a greater requirement for PPI post-TAVI¹⁹; however, neither the prevalence of AV block nor right or left bundle branch block varied between the 2 groups at baseline in the present analysis. On the other hand, the potentially inferior condition of the

native valves in the conventional group, which was indicated by the baseline characteristics, may have been a contributing factor. Data regarding aortic root calcification may help to clarify this hypothesis. In combination with studies investigating direct TF- or TA-TAVI, our data suggest that eliminating BAV from the procedure may reduce the periprocedural need for PPI for some patients.

Early Safety/Efficacy

No differences in the rates of patients meeting early safety/efficacy end points at 30 days, including the VARC-2 composite measures and each of their elements considered individually, were seen between groups.¹¹ This suggests that BAV predilation in TAO-TAVI has little to no short-term clinical value for many patients. Previous studies evaluating the role of predilation in TF- and TA-TAVI also have come to this conclusion,^{3,7,8,14-18} indicating that this finding appears to be applicable regardless of access route.

Several TF-TAVI studies have associated the omission of BAV predilation with reduced stroke rates^{16,18}; however, this was not the case in the present study, with identical rates of nonfatal stroke/transient ischemic attack in the 2 groups. This may be explained by the additional stroke risk posed by the TF access route (due to the abrasion of lengthy arterial lumens and potential dislodgement of atherosclerotic material), and therefore the greater capacity for reducing this risk by omitting the passage of the BAV device. Such an effect likely would be negligible with TAO access because of the short distance between the point of catheter insertion and the aortic valve. In this case, debris from the valve itself would be the cause of stroke. It is possible that a higher rate of stroke would be found in the conventional group compared with the direct group if a larger population was evaluated, with only 4 patients overall experiencing such an event in the present study. Future studies should carefully monitor this important safety outcome.

Study Limitations

First, the assignment of patients in the conventional or direct groups was dependent on the treatment decision taken by the intervening physician, rather than randomization. Baseline characteristics such as frequency of atherosclerotic disease, moderate/severe aortic regurgitation insufficiency, and NYHA class III/IV, as well as the degree of aortic root calcification, which was not recorded in the registry, would likely have influenced the decision of whether or not to perform BAV. Therefore, there was an imbalance in the characteristics of the 2 groups that would have influenced the observed differences in outcomes. To minimize such effects, 30-day outcomes were adjusted for PAD, moderate/severe regurgitation insufficiency, and NYHA class III/IV at baseline. Second, because evaluating

the role of BAV predilation was not an original aim of the ROUTE registry, data relating to justification of its omission/inclusion were not systematically recorded. Accordingly, not all of the variables accounting for selection were necessarily captured, and the multicenter nature of the study means that institutional preferences are likely to have played a role. Third, statistical power was limited by the smaller number of patients who received direct TAO-TAVI, with the low event rates potentially resulting in overfitting of the data at multivariable analysis. Because our intention was merely to describe the observed relationship between BAV and outcome variables in the present study, we restricted the multivariable model to clinical safety and efficacy composite end points. Finally, sole use of a balloon-expandable SAPIEN THV means that findings are not necessarily applicable to other valves and deployment systems, such as self-expandable valves.

CONCLUSIONS

The elimination of BAV predilation from TAO-TAVI with an Edwards SAPIEN balloon-expandable valve appears to be safe and not to affect the success of the procedure in the majority of patients. Although there is likely a proportion of patients who received TAVI who will still require BAV because of the condition of the native valve and aortic root, it appears to be unnecessary in many cases. This is in agreement with prior studies concerning TA- and TF-TAVI. The present real-world data suggest that physicians might consider using a direct rather than a conventional approach in TAO-TAVI. However, larger, randomized studies would be informative to confirm present findings.

Conflict of Interest Statement

V.B., M.A., H.B., N.B., S.C., R.C., W.E., D.F., G.G., D.J., M.K., J.L., M.L., D.M., K.A.R., M.T., J.-P.V., and M.R. state that they have received research funding and/or speaker honoraria and/or have proctored for Edwards Lifesciences. P.B. states that he has received consultancy fees and that his institution received research grants from Edwards Lifesciences, including one for the conduction of the ROUTE registry. C.D. has no conflict to disclose. M.T. states that he holds stocks in and is employed by Edwards Lifesciences. L.L. has nothing to disclose with regard to commercial support.

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